# **Stereochemistry of the Palladium-Catalyzed Allylic Substitution: The Syn-Anti Dichotomy in the Formation of (** $\pi$ **-Allyl)Palladium Complexes and their Equilibration**<sup>†</sup>

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Abstract: The mechanism of palladium(O)-catalyzed allylic substitution has been investigated with the aim of finding whether or not the intermediate  $(\pi$ -allyl)palladium complexes can arise in a *syn* fashion as an alternative to the well known *anti*-mechanism. Using (diphenylphosphino) acetate as a leaving group and stereochemically biased substrates **30b and 35b evidence** for the syn stereochemistry has **been**  acquired (30b  $\rightarrow$  31 and 35b  $\rightarrow$  36). This reversal of stereochemistry is facilitated by severe steric congestion in the starting allylie esters (which impairs the ordinary anti-mechanism) and is boosted by the pre-coordination of the Pd(0) reagent to the leaving group. The latter effect apparently lowers the activation enuopy. With cyclohexene derivatives **lob. 18b,** and **19b** and acyclic substrate **2Sb,** where steric hindrance does not operate, the *anti*-mechanism producing  $\eta$ <sup>3</sup>-complexes dominates even for (diphenylphosphino)acetates. At elevated temperature, rapid equilibration of  $\eta^3$ -complexes (13 = 14 and  $20 \rightleftharpoons 21$ ) has been observed prior to the reaction with a nucleophile. This effect has been attributed to the presence of (diphenylphosphino) acetate ion acting as a ligand for palladium.

#### **Introduction**

Aliylic substitution, in its traditional version, is a capricious reaction that can afford a variety of products due to the competing  $S_N1$ ,  $S_N2$ ,  $S_N2'$ , and elimination processes.<sup>1</sup> Moreover, the  $S_N2'$ reaction can proceed in an *anti* or syn fashion,<sup>1-3</sup> so that mixtures of products are often obtained, unless an inherent bias in the substrate molecule strongly favors one particular pathway.

In 1965 Tsuji<sup>4</sup> reported on the stoichiometric reaction of  $($ r-allyl)palladium complexes with nucleophiles, effecting an overall allylic substitution. Later (in 1970), Walker<sup>5</sup> and Hata<sup>6</sup> discovered that the allylic displacement of OR groups with a variety of nucleophlles requires only a catalytic amount of palladium. These findings opened a vast area of further studies and applications. The tefmemnt of this reaction owes much to the work of Trost,<sup>7</sup> Tsuji,<sup>8</sup> Băckvall,<sup>9</sup> and others,<sup>10</sup> who recognized its potential. Since the mid 70-ties the palladium-catalyzed allylic substitution has evolved into a very mild, efficient and generally stereospeciflc method for C-C, C-N, and C-O bond formation in both inter- and intramolecular versions<sup>7-10</sup> whereas investigation of the classical  $S_N2'$  reaction v $\ddot{R}$ tually ceased in early 80-ties.

Stereochemical studies demonstrated that formation of the intermediate  $(\pi$ -allyl)palladium complexes from allylic esters,<sup>11</sup> carbonates,<sup>12</sup> or phosphates<sup>13</sup> (oxidative addition) uniformly proceeds via an *anti*-mechanism  $(1 \rightarrow 2 \rightarrow 3)$ .<sup>14</sup> The following reaction with stabilized C-nucleophiles (Nu<sub>A</sub>) leads to 4, again via an *anti*-mechanism (Scheme  $I$ ). <sup>11,12,14</sup> In contrast, reactions of the complexes with organometallics  $(Nu_R)$ ,<sup>15</sup> such as aryl- and vinylzinc halides,<sup>15c,16,17</sup> and aryl- and vinyltin<sup>18</sup> and zirconium<sup>15</sup> reagents give syn-products in the second step (3  $\rightarrow$  5  $\rightarrow$  6).<sup>19</sup>



In sharp contrast to the variability of the stereochemistry of the second step, the initial oxidative addition has been found to invariably occur in an anti fashion.<sup>11-14</sup> However, a syn-mechanism for this step should also be stereoelectronically allowed, in spite of being apparently higher in energy. If attainable, this reversal of stereochemistry would largely broaden the synthetic scope of the palladium-catalyzed allylic substitution. Here we present a full account of our efforts to achieve the syn-mechanism in the oxidative addition as a follow up to our preliminary communication.<sup>24</sup>

#### **Results**

**We** reasoned that the syn route for the oxidative addition of Pd(0) to the allylic substrate (Scheme II) might be boosted, e.g., by pre-coordination of the Pd(0) reagent to the leaving group ( $7 \rightarrow 8$  $\rightarrow$  9). This type of coordination has been observed in the reactions of allylic carbamates with organocuprates, $27$  and steering a reagent by pre-coordination to a neighboring group has become an established method of stereocontrol for a number of other reactions<sup>26</sup> such as epoxidation,<sup>27</sup> cyclopropanation,<sup>28</sup> mercuration,<sup>29</sup> carbonylation,<sup>30</sup> hydroboration,<sup>31</sup> hydrogenation,<sup>32</sup> addition of Grigmand reagents,  $33$  and others.  $26,34$  In analogy with the previous work of Trost, who used allylic acetate 10a (Scheme III) as a model compound to elucidate the stereochemistry of the palladium-catalyzed substitution (Table 1, entries 1, 2), we have prepared a set of carbamates<sup>35</sup> 10d-10g and thiocarbamate<sup>36</sup> 10h (Scheme III) and submitted them to palladium catalyzed substitution with the sodium or lithium salt of diethyl malonate. All of them, however, followed the usual reactivity of the acetate 1Oa in spite of the previously reported reversal of the steric course of reaction with cuprates.<sup>25</sup> No substantial syn pathway could be detected and good vields of 15 with diastereoisomeric excess of  $\sim$ 10:1 were isolated in all cases (Table 1, entries  $15$ -18).<sup>37</sup> Apparently, neither N nor S in our leaving groups could coordinate palladium effectively so as to induce an appreciable proportion of the syn pathway.

**Scheme II** 



Since phosphines are known to be particularly good ligands for palladium we turned our attention to (diphenylphosphino)acetic acid (DPPAcOH) $^{38}$  and prepared the corresponding ester 10b (Scheme III). The latter was treated with alkali salt (Li or Na) of diethyl malonate and a catalytic-(5 mol%)to-stoichiometric amount of Pd(0) in various solvents, using a range of temperature, and various ligands (Table 1). While acetate 1Oa is known to predominandy afford the product of overall retention of configuration (entry 1) with high selectivity (93:7 to 98:2), we found that with our DPPAcO derivative lob we could achieve up to 3:2 ratio of the products 15 and 16 (entries 3-6). Since no epimerixation of 10b was detected at ca. 50% conversion, it was conceivable that the minor product 16 might really arise by the mechanism we looked for, involving pre-complexation of the palladium reagent to the  $Ph_2P$ - group and formation of the complex 12. But still, the competing mechanism of the oxidative addition remained the dominant reaction pathway, giving eventually 15 as the major product.<sup>39</sup>

We next reasoned that strongly coordinating ligands in the catalyst give the DPPAcO group little chance of replacement. Therefore, we have elucidated the ligand effect (entries 6-11). Bidentate dppe is obviously coordinated even more strongly to Pd(0) than is  $Ph<sub>3</sub>P$  and, accordingly, a higher proportion of the anti pathway was observed (entry 7). Turning to "weaker" ligands, such as dibenxylideneacetone (dba; entry 8) and p-benxoquinone (entries 9 and 10) we expected to shift the product distribution in favor of 16. However, the reaction was found to return back to the usual outcome (entries S-10); neither changing the solvent (from non-coordinating benzene to strongly coordinating DMF or MeCN) nor addition of LiCl, $9$  had a pronounced effect on the reaction (see notes for entry 4). Experimenting with added external ligands, such as  $Ph_3P$  or  $P(CH_2CH_2CN)_3$  (entries 6 and 11), also did not lead to a significant improvement and the best product ratio (ca. 1: 1) was obtained in the presence of the latter ligand (entry 11).

At this stage we felt that evidence for the coordination of the Pd(0) reagent to the DPPAcO group was clearly needed This would have been difficult in the catalytic mode and we have therefore prepared complex 17 (52%)<sup>40</sup> by reaction of 10b with  $[(\pi\text{-crotyl})PdCl]_2$  in the presence of maleic

anhydride. When **17 was** submitted to the reaction with dietbyl sodiomalonate in THF at r.t. for 30 min., a 4951 mixture of **15** and **16** (71% isolated yield) was obtained rather than the expected pure 16. A possible explanation could be as follows. About half of the molecules may react in an intramolecular fashion employing a syn-mechanism for the formation of the  $\eta^3$ -intermediate, which is eventually converted to 16. The other half of the molecules react in an intermolecular way utilizing the second molecule of 17, or the released Pd(0) species, as a reagent for the anti-mechanism. eventually producing 15 **41.42** 

#### **Scheme III**



A further possibility for boosting the syn-mechanism would be to make Pd in 17 coordinatively unsaturated through abstracting Cl by silver.<sup>47</sup> This might facilitate the coordination of Pd to the neighboring carbon-carbon double bond and, possibly, shift the reaction in favor of the syn-mechanism. However, when 17 was treated with NaCH( $CO<sub>2</sub>Et$ )<sub>2</sub> in the presence of an equivalent of  $AgBF<sub>4</sub>$  at r.t. for 1 h, the product analysis showed a significant shift back toward the ordinary outcome giving an 82:18 mixture of 15 and 16 in 75% yield.<sup>48</sup> In a related experiment, a Pd(II) complex was first generated by reaction of 10b with a stoichiometric amount of (MeCN)<sub>2</sub>PdCl<sub>2</sub> and then reduced to a Pd(0)-complex by means of Fe(CO)<sub>5</sub>. The latter was then treated with diethyl sodiomalonate in THF at 5O"C for 48 h but, again, afforded 15 as the major product (92:8; 33% isolated yield).

entry	compd	leaving group	catalyst	mol% of catalyst	temp. (°C)	time (h)	ratio 15:16 <sup>a</sup>	isolated yield (%)
$\mathbf{1}$	10a	CH <sub>3</sub> CO	$(Ph_3P)_4Pd$	5	50	16	93:7 <sup>b</sup>	91
$\overline{2}$	10a	CH <sub>3</sub> CO	$(Ph_3P)_4Pd$	10	50	1	82:18	60
3	10b	<b>DPPAcO</b>	$(Ph_3P)_4Pd$	10	50	1	67:33	42
4	<b>10b</b>	<b>DPPAcO</b>	$(Ph_3P)_4Pd$	25	50	1	58:42	95c4
5	10b	<b>DPPAcO</b>	$(Ph_3P)_4Pd$	100	50	1	57:43	78
6	10b	<b>DPPAcO</b>	$(Ph_3P)_4Pd$	25 <sup>e</sup>	50	1	73:27	51
$\overline{7}$	10 <sub>b</sub>	<b>DPPAcO</b>	(dppe) <sub>2</sub> Pd	5	50	1	>95:5	62
8	10 <sub>b</sub>	<b>DPPAcO</b>	(dba) <sub>2</sub> Pd	50	50	1	>95:5	80
9	10 <sub>b</sub>	<b>DPPAcO</b>	$(Ph_3P)_2Pd(BO)$	258	20	1	94:6	92
10	10 <sub>b</sub>	<b>DPPAcO</b>	(bpy)Pd(BQ)	25	20	1	95:5	90
11	10 <sub>b</sub>	<b>DPPAcO</b>	$[(\pi\text{-crotyl})PdCl]_2$	$50$ $8h$	20	1	53:47	93
12	10 <sub>b</sub>	<b>DPPAcO</b>	$(Ph_3P)_4Pd$	25	0	$\mathbf{1}$	92:8	53
13	10 <sub>b</sub>	<b>DPPAcO</b>	$(Ph_3P)_4Pd$	20	20	$\mathbf{1}$	72:28	87
14	10 <sub>c</sub>	<b>EtOCO</b>	$(Ph_3P)_4Pd$	10 <sup>s</sup>	50	$\overline{2}$	94:6	80
15	10e	<b>BnNHCO</b>	$(Ph_3P)_4Pd$	258	50	16	89:11	92
16	10f	NphthNHCO	$(Ph_3P)_4Pd$	258	50	16	81:19	92
17	10 <sub>g</sub>	<b>TsNHCO</b>	$(Ph_3P)_4Pd$	258	50	16	87:13	93
18	10h	<b>PhNHCS</b>	$(Ph_3P)_4Pd$	258	50	16	86:14	79

Table 1. Reaction of 10 with  $LiCH(CO_2Et)_2/Pd(0)$  in THF at 0.03 M concentration

<sup>a</sup> Determined by GC.  $b$  With (dppe)<sub>2</sub>Pd the ratio was 98:2 (ref 21d). <sup>c</sup> In DME the ratio was 57:43 at 50 °C and in dioxane 66:34. Other solvents ( $C_6H_6$ , CHCl<sub>3</sub>, C<sub>5</sub>H<sub>5</sub>N, MeCN, and DMF) gave  $\geq$  90:10 ratio. <sup>d</sup> When the reaction was carried out at 0.003 M concentration, the ratio had changed to 8911. c With 100 mol% of Ph3P as an added ligand. *f* Determined by 'H NMR. s The reaction was **carried**  out with NaCH(CO<sub>2</sub>Et)<sub>2</sub>. <sup>h</sup> With 100 mol% of P(CH<sub>2</sub>CH<sub>2</sub>CN)<sub>3</sub> as an added ligand.



Since not even the complex 17 was particularly prone to the  $syn$ -mechanism an alternative rationalization should be sought for the reactivity of our DPPAc derivatives. Bosnich has demonstrated that optically active  $(\pi$ -allyl)palladium complexes may be partly or fully racemized by an excess of the Pd(0)-reagent.<sup>49</sup> Assuming that isomerization can occur at the stage of  $n^3$ -complexes, it is conceivable that  $17$  is first converted to the  $(\pi$ -allyl) complex (one way or another) and the latter is isomerized prior to its reaction with nucleophile. Similarly, in the catalytic reaction, 10b would initially produce a  $n<sup>3</sup>$ -complex which would then isomerize to a mixture of 13 and 14; this sequence would be reflected in the product ratio. In order to find which of the two diastereoisomeric  $n<sup>3</sup>$ -complexes is formed first from lob (prior to the isomerixation), we have elucidated the temperature effect on the catalytic reaction (entries 4, 12, and 13). At  $0^{\circ}$ C (entry 12) the reaction of 10b gave approximately the same ratio of the products as that obtained from acetate 10a. Raising the temperature to  $20^{\circ}$ C (entry 13) and further to  $50^{\circ}$ (entry 4) resulted in a continuing increase of the proportion of 16. Further increase (to 80 $\degree$ C in DME) had no effect indicating that an equilibrium had been reached. This behavior suggests that the  $\eta^3$ -complex is predominantly formed via the *anti*-mechanism even for 10b with subsequent thermodynamic equilibration  $13 \leftrightarrow 14$  at elevated temperature.

To gain further support for this rationalization. it was desirable to explore the reaction with other substrates. To this end we prepared steroidal esters 18 - 19 (Scheme IV). To our surprise, we found all of them either to be inert toward the Pd-catalyzed substitution with diethyl sodiomalonate or to give complex mixtures of products under harsh conditions.<sup>50</sup> On the other hand, these esters reacted with PhZnCl in the presence of a catalytic amount of  $(Ph_3P)_4Pd$ . While 3B-derivatives 18a and 18b gave similar compositions of the products 22 and 23, slightly favoring the former (Table 2, entries 1 and 2), 19a reacted differently from 19b. While acetate 19a gave approximately the opposite ratio of 22 to 23 to that obtained from its epimer 18a (Table 2, entry 3), the DPPAcO derivative 19b clearly favored inversion to give almost pure 22 (entry 4).<sup>52</sup> Since organometallics are known to react stereospecifically with the  $(\pi$ -allyl)palladium complexes using a syn-mechanism, the results obtained with 18a, 18b, and 19a are consistent with the existence of both diastereoisomeric Pd-complexes 20 and 21 as intermediates; the product ratio indicates that equilibration of these complexes has occurred, although apparently not to completion. In contrast, the formation of a single phenyl derivative 22 from 19b is probably due to substantial elimination, which may well have been the major reaction pathway for the B-diastereoisomeric complex 20.

Since all the above allylic derivatives displayed some degree of steric hindrance, further information was sought using an aliphatic substrate free of any steric congestion. Acetate (-)-25a (58% ee) is known to produce (-)-28 (58% ee) via the *anti,anti* sequence (Scheme V) on a Pd(0)-catalyzed reaction with dimethyl sodiomalonate.<sup>14a</sup> We have prepared DPPAc derivative  $(+)$ -25b from the enantiomeric alcohol (+)-24 of  $\geq 99\%$  ee<sup>53</sup> and carried out the Pd(0)-catalyzed reaction under the standard conditions (at 40 $^{\circ}$ C) to get a dextrorotatory product (+)-28, whose optical rotation indicated about 84% optical purity,<sup>57</sup> while the <sup>1</sup>H NMR spectrum taken in the presence of Eu(tfc)<sub>3</sub> implied 74% ee<sup>58</sup> which corresponds to an 87:13 ratio. This is again in agreement with the ordinary anri-mechanism for the oxidative addition followed by partial racemization of the intermediate  $n^3$ -complex 27.<sup>59</sup>





**Table 2.** Reaction of **18** and **19 with** PbZnCl/Pd(O)



 $a$  Determined by <sup>1</sup>H NMR (see ref. 52).



These experiments showed that although the initial results achieved with **lob** seemed to provide support for the ryn-mechanism. those obtained later did not. Nevertheless. we wished to explore systems, where the ordinary *anti* pathway for oxidative addition would be strongly disfavored by, e.g., steric congestion, to find out if this would finally promote the syn-mechanism. A suitable pair of model compounds 29a and 30a to address this issue was found in the literature<sup>17</sup> (Scheme VI). The acetate 29a is known to form the intermediate Pd complex 31 via an ordinary anti-mechanism and produce phenyl derivative 32 on subsequent syn reaction with PhZnCl; no reaction is observed with diethyl sodiomalonate. due to the severe steric hindrance the nucleophile would experience from the *anti* (i.e. endo) face of the double bond in 31. In contrast to 29a, the epimeric acetate 30a has been reported to be inert towards Pd-catalyzed reactions, because the endo face of the allylic system required for the anti-mechanism is, again, severely hindered.<sup>17</sup> It turned out that the DPPAc derivative  $30b$ , of the same configuration as the inert acetate 30a. readily reacted with PhZnCl/Pd(O), giving 32 as the sole product (in 80% isolated yield), identical with the compound obtained from the acetate 29a (Scheme VI). Since the second step is known<sup>17</sup> to proceed stereospecifically in a *syn* fashion, the intermediate  $\eta^3$ -complex formed from 30b should be the same as that arising from 29a. Moreover, while the reaction of 29a requires 2 h at 20°C to reach completion, 30b reacted within 30 min at the same temperature. Since no epimerixation of the starting material 30b was observed, we believe that this example finally provided the required evidence for the syn-mechanism. When the reaction time of the acetate 30a was extended to 48 h (still at 20 °C), we also obtained the same phenylated product 32, although in an appreciably lower yield (57%) due to partial decomposition and polymerization. Again, no epimerization of the starting acetate **30a was** observed so that this can also be interpreted as evidence for the syn-mechanism.

**Scheme V** 

**Scheme VI** 



**34a, R = CH3C0 34b, R = Ph<sub>2</sub>PCH<sub>2</sub>CO** 

**34i,**  $R = 2.6 \cdot \text{Cl}_2 \cdot \text{C}_6 H_3 CO$ 

**35a, R = CH,CO**   $35b$ ,  $R = Ph_2PCH_2CO$ **35i,**  $R = 2.6 - Cl_2 - C_6H_3CO$ 





Similar steric bias to that in 29 and 30, could be expected in *trans*- and  $c\ddot{x}$ -verbenol<sup>60</sup> derivatives 34 and 35. Acetate 34a and DPPAc 34b turned out to react sluggishly with PhZnCl/(Ph<sub>3</sub>P<sub>A</sub>Pd affording, after 36 h at 20 $^{\circ}$ C,<sup>61</sup> the phenylated product 37<sup>62</sup> in 20% and 29% isolated yield, respectively. In contrast, eplmerlc esters **3Sa** and **35b differed dramatically from one another. Whenas acetate 35a**  reacted sluggishly again (20 $^{\circ}$ C, 40 h, to furnish only 18% yield of 37),<sup>61</sup> the reaction of 35b was complete within 1 h at 20°C and produced 37 in 53% yield. This dramatic acceleration is in agreement with a mechanism encompassing pre-coordination of Pd to the Ph<sub>2</sub>P- group and a syn-type oxidative addition to generate intermediate 36. It appears that in the cis-isomers **34a** and **34b the** alignment of the C-O bond and the  $\pi$ -orbitals (required for the reaction to occur)<sup>11f</sup> is difficult to achieve due to the steric congestion imposed by the geminal dimethyl group. 'Ihis seems to be the rationale for the slowing down the reaction. Even the 2,6-dichlorobenzoyloxy group, generally known to react much faster than other allylic esters.<sup>63</sup> did not accelerate the reaction: both 34i and 35i needed approximately the same time for >90% conversion as did the acetates 34a and 35a.<sup>64</sup>

#### **Discussion**

Searching for evidence in favor of a syn-mechanism for the formation of  $(\pi$ -allyl)palladium complexes from allylic substrates, we have carried out initial experiments with 10b (Table 1, namely entry 4) and with stereochemically biased substrates (Schemes VI and VII). While the results obtained with 10b were tentatively interpreted as possible evidence for the syn-pathway (competing with the traditionat anti-mechanism), the behavior of **30b** and **3Sb was strongly** supportive of the ryn route. At this stage we have published our conclusions in a preliminary communication<sup>24</sup> as the first example of formation of a palladium  $\eta^3$ -complex in a syn fashion. Later, two other examples were found, namely with allylic chlorides<sup>67,68</sup> and certain allylic trifluoroacetates.<sup>69,70</sup>

Detailed investigation of the reactivity of 10b, presented in this paper, clearly shows that some of our preliminary conclusions have to be modified. In the light of the above results, it appears that what was originally thought to be an indication of the syn-mechanism, was in fact a *cis-rrans*  isomerization of a q3-complex. On the other hand, our DPPAc esters **30b** and **35b** demonstrate that the syn-mechanism of the palladium  $\eta^3$ -complex formation can be achieved if the ordinary *anti* reaction is precluded by strong steric congestion. Moreover, acetate 30a, previously reported to be inert,  $17$  was also found to react (in a syn fashion).71 but the reaction is dramatically slower than with the DPPAc ester **30b,**  and the yield of the product is low. Similar behavior was observed for acetate **35a.** 'Ibis indicates that pre-coordination of the Pd(0)-reagent is not a categorical prerequisite for the syn-mechanism to operate, but has a beneficial accelerating effect on the reaction, which is also reflected in a high yield of the product. Thus, for the substrates where the Pd-catalyzed substitution would be extremely slow, our leaving group can be used to facilitate the reaction via a syn-mechanism for the oxidative addition.

It appears that although the oxidative addition of palladium can really occur in a syn fashion, the anti-mechanism normally dominates unless precluded by structural effects. Clearly, the *anfi*mechanism must be lower in energy. This parallels the *anti*-stereospecificity of the substitution of allylic esters by organocuprates. The latter has been rationalized by Corey as resulting from an effective overlap

between d orbitals of copper with the antibonding orbitals of C=C ( $\pi^*$ ) and C-X ( $\sigma^*$ ) in the transition state  $(41; M = Cu)<sup>73</sup>$  We feel that similar arguments may be used to account for the preferred anti-stereospecificity in case of palladium (41;  $M = Pd$ ). A higher activation energy for the syn-mechanism may be attributed to the lack of the orbital stabilization and to steric congestion.



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While the stereochemically biased substrates provided convincing evidence for the syn-mechanism of the oxidative addition, the reactivity of cyclohexene derivatives **10.18,** and 19 is more complex and deserves further comments. Our experiments clearly indicate that isomrization occurred at some stage. Since the thermodynamic equilibrium of the products 15 and 16 is known to be 3:1,<sup>21d</sup> the ratios we have obtained (3:2, l:l, etc.; Table 1) must originate from another source. Stopping the reaction at ca. 50% conversion nvealed no appreciable isomerization of the starting compound. These facts suggest that isomerization is taking place at the stage of palladium allylic complexes.

Isomerization of  $\eta^3$ -complexes by added Pd(0) was first observed by Tsuji<sup>12c</sup> and Bosnich<sup>49</sup> and recently studied by Bäckvall<sup>65</sup> in detail.<sup>74,75</sup> Bäckvall has investigated equilibration of *cis* and trans complexes 38 and 39 (originally existing as pure compounds) and arrived at the following conclusions (Scheme VIII): (1) Free Pd(0)-reagent is responsible for the isomerization of  $\eta^3$ -complexes as confirmed by studies of both stoichiometric and catalytic reactions. The equilibrium of 38a:39a was found to be 55:45, and can be achieved by addition of  $(Ph_3P)_4Pd$  to each of the latter complexes at -14°C in less than 5 min! (2) Isomerization is inhibited by decreasing concentration of  $(Ph_3P)_4Pd$  or by use of reactive allylic substrates. (3) Addition of Ph<sub>3</sub>P is increasing equilibration due to the release of Pd(0) from the  $n^3$ -complexes. (4) Complexes with bidentate ligands, such as dppe<sup>76</sup> (38b and 39b), isomerize very slowly (if at all).<sup>77</sup> This is in accord with the expected absence of "semi-naked" Pd(0) species that are required for the nucleophilic isomerization.

In view of Bäckvall's findings, our observations can be interpreted as follows. Elevated temperature and increased amount of catalyst (up to  $25 \text{ mol}\%$ ) expedite the *trans/cis* equilibration of  $\eta^3$ -complexes 13 and 14. The product ratio (15:16) finally achieved with our system (58:42 or 57:43; Table 1, entries 4 and 5) closely resembles that observed for the palladium complexes 38:39 (55:45). Furthermore, decreasing the concentration of all components 10 times (Tab. 1, footnote d) resulted in considerable suppression of the isomerization (due to lowering the concentration of active Pd-reagent) as

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reflected in product ratio (88:ll). These results strongly support the mechanistic picture that encompasses the *anti*-mechanism producing intermediate  $n^3$ -complex 13 which is then isomerized prior to the reaction with diethyl lithiomalonate. Participation of the syn pathway (ii any) seems negligible in this instance.

**Scheme VIII: a, L<sub>n</sub> =**  $(Ph_3P)_2$ **, X =**  $CF_3SO_3$ **; b, L<sub>n</sub> = dppe, X =**  $CF_3SO_3$ 



The reactivity of the steroidal substrates 18 and 19, as well as that of acyclic model 25b, conforms to this mechanistic picture. The reluctance of acetate 18a to react even under really harsh conditions apparently reflects unfavorable alignment<sup>11f</sup> of the C-O bond with the  $\pi$ -system.

Bäckvall<sup>65</sup> has shown that 38a and 39a equilibrate more quickly than the dppe complexes **38b and 39b. In our** reaction, the equilibration is presumably also faster than previously observed. This acceleration apparently stems from the nature of ligands attached to palladium Our DPPAcO group can probably compete with  $Ph_3P$  in the coordination sphere of Pd. Similarly to Bäckvall's triflates 38a and 39a, these species may be more prone to equilibration than the previously studied complexes of  $Ph<sub>3</sub>P$  or dppe. In order to gain support for this hypothesis, we have run a Pd-catalyzed substitution with carbonate 10c in the presence of DPPAcOH (100 mol%) as an added ligand.<sup>78</sup> Product analysis showed a 69:31 ratio of **15** to 16, which is close to the 58:42 ratio obtained with **lob** (Tab. 1, entry 4) and very different from the reaction of 10c carried out in the presence of  $Ph_3P$  (which gave a 94:6 ratio; Tab. 1, entry 14). This finding is thus in excellent agreement with the above hypothesis. Moreover, trans-epimer of 10a (which normally gives mainly 16 as the product of steteohomogeneous double inversion sequence) turned out to afford a 36:64 mixture of  $15$  and  $16$  (91% yield) when the reaction was carried out in the presence of DPPAcOH. Again, this indicates a large proportion of isomerization.<sup>79</sup>

#### **Conclusions**

Using stereochemically biased substrates 30 and 35, we have obtained evidence that the  $syn$ -mechanism of formation of palladium  $\eta^3$ -complexes from allylic esters may be achieved if stereochemical congestion precludes the ordinary *anti*-mechanism. Pre-coordination of the Pd(0) reagent to the leaving group (as in **30b** and **35b**) dramatically accelerates the reaction and improves the overall yield. In principle, however, this pre-coordination is not essential for the syn-mechanism to operate, as demonstrated by allylic acetates 3Oa and 35a.

Substrates lacking stringent stereochemical hindrance **(10, 18, 19, and 25) react** via an ordinary *anti*-mechanism to produce the corresponding palladium  $\eta^3$ -complexes as intermediates. Apparently, the availability of precoordination of the **Pd(0) reagent to the DPPAcO group does not**  compensate for the higher activation energy for the syn-mechanism, so that the anti-process still dominates.<sup>82</sup> At elevated temperatures the intermediate  $\eta^3$ -complexes isomerize prior to the reaction with a nucleophile. The isomerization can be facilitated even with allylic acetates and carbonates by addition of DPPAcOH as an external ligand.

**We believe** that our results, in conjunction with those obtained by other investigators, provide better insight into the mechanism of Pd(O)-catalyzed allylic substitution and broaden its applicability. Our findings show that in substrates where the classical *anfi route* of complex formation. is impeded by severe steric congestion, our new leaving group enables the catalytic reaction to occur readily due to the operation of syn-mechanism as a stereoelectronically allowed alternative.

### **Experimental Section**

**Materials and Equipment.** Melting points (uncorrected) were obtained on a Kofler block. Optical rotations were measured in CHCl<sub>3</sub> at 22 <sup>o</sup>C with an error of  $\lt t1^{\circ}$ . The infrared spectra were obtained on a Perkin-Elmer 621 instrument in CCl<sub>4</sub>. <sup>1</sup>H NMR spectra were measured on Varian XL-200 (4.7 T, FT mode) and Tesla BS 497 (2.35 T) instruments for CDCl<sub>3</sub> solutions at 25 °C with Me<sub>4</sub>Si (for <sup>1</sup>H) and <sup>13</sup>C) as an internal standard or 85% H<sub>3</sub>PO<sub>4</sub> (for <sup>31</sup>P) as an external standard. Chemical shifts are given in  $\delta$  values (ppm) relative to the signal of the standard ( $\delta = 0.00$ ). Coupling constants were obtained by decoupling experiments and are given in absolute values. Enantiomeric excess of 28 was determined by means of (+)-Eu(tfc)<sub>3</sub> from the relative intensities of doublets of CH<sub>3</sub> at 1.20 ppm (CH<sub>3</sub> of the dextrorotatory enantiomer appears at lower field). The mass spectra were measured on ZAB-EQ (VG Analytical) spectrometer: the EI spectra were recorded at 75  $eV$  using the lowest temperatures enabling evaporation (100-210 °C) and perfluorokerosene for calibration; FAB spectra were measured using the thioglycerol/glycerol(3: 1) matrix and MeGH as a solvent and CsI for cahbration. Elemental composition of the ions was determined by high resolution techniques. GC Analysis was carried out at Hewlett-Packard 5890 instrument using capillary columns (50% OV-17, 10m x 2.65  $\mu$ m). Mercury lamp with highest pressure (Narva HBO 200, Carl Zeiss Jena) was used for photochemical experiments. Standard workup of an ethereal solution means washing with 5% HCI (aqueous), water, and 5% KHCO<sub>3</sub> (aqueous), drying with  $Na<sub>2</sub>SO<sub>4</sub>$ , and evaporation of the solvent in vacuo. Petroleum ether refers to the fraction boiling in the range 40-60  $\degree$ C. The identity of samples prepared by different routes was checked by TLC and GC and IR, mass, and NMR spectra. Yields are given for isolated product showing one spot on a chromatographic plate and no trace of impurities detectable in the NMR spectrum. Diethyl sodiomalonate in THF was prepared as follows: to sodium hydride (48 mg of 50% suspension in mineral oil; 1 mmol) in dry THF (6 mL) was added a solution of diethyl malonate (160 pL; 1.054 mmol) under argon at r.t. over 5 min. The mixture was stirred for 15 min and then used. Diethyl lithiomalonate was prepared analogously by addition of n-butyllithium (1.6 M solution in n-hexane; 630 pL; 1.008 mmol) to a solution of diethyl malonate (160 pL; 1.054 mmol) in dry THF (4 mL) at r.t. over 5 min. After stirring for another 10 min at r.t. the reagent was used. Phenylzinc chloride was prepared as follows: Phenylmagnesium bromide (2.53 M solution in ether; 400  $\mu$ L; 1.012 mmol) was added to dry zinc chloride ( $145$  mg; 1.064 mmol) in dry THF (8 mL) at 0 °C over 5 min under argon. The mixture was stirred at  $0^{\circ}$ C for 15 min and then at r.t. for another 15 min. The resulting white suspension was used for further reactions.

**General Procedure for Pd(O)-Catalyzed Substitution with Diethyl Malonate.** To a solution of palladium complex (5-100 mol%; see Tab. 1 or text) in THF or another solvent (3 mL) was added a solution of allylic **substrate (0.30** mmol; 1 equiv.) in 1 mL of solvent and a solution of alkali salt of diethyl malonate (3 equiv.). The mixture was stirred (for temperature and duration, see the text or Table 1) under argon and then filtered through pad of aluminum oxide using ether as eluent. The solvent was evaporated in vacuo and the residue was chromatographed on silica (12 g) with petroleum<br>ether-ether mixture (83:17) and 25 kPa pressure. The product was analyzed by GC and <sup>1</sup>H NMR. H NMR.

General Procedure for Pd(0)-Catalyzed Substitution with Phenylzinc Chloride. To a solution of  $(Ph_3P)_4$ Pd (0.025 mmol; 10 mol%) in THF (4 mL) was added allylic substrate (25 mmol; 1 equiv.) in dry THF (4 mL). The solution was stirred at r.t. for 2 min and then phenylzinc chloride (5 equiv.) in THF was added. The mixture was stined at r.t. for **30 min or longer (see the** text) under argon. When the reaction was finished, the mixture was filtered through a pad of aluminum oxide using ether as an eluent. The filtrate was evaporated in vacuo and the residue was chromatographed on silica  $(10 g)$ with petroleum ether and 10 kPa pressure. The products were analyzed by TLC,  ${}^{1}$ H NMR and MS.

**Methyl** cis-[5-(diphenylphosphino)acetoxy-3-cyclohexene-1-carboxylate} (10b). To a solution of (diphenylphosphino) acetic acid  $(1.65g, 6.76$  mmol) in a mixture of dry ether  $(20 \text{ mL})$  and acetonitrile (1 mL) was added successively 4-dimethylaminopyridine (100 mg, 0.82 mmol) in dry acetoninile (1 mL), cis-(5hydroxy-3cyclohexen-l-carboxyhtte) baa (690 mg, 4.42 mmol) in dry **ether**   $(6 \text{ mL})$ , and N,N'-dicyclohexylcarbodiimide  $(1.42 \text{ g}; 6.88 \text{ mmol})$  in dry ether  $(10 \text{ mL})$  and the mixture was stirred at r.t. for 30 min. Precipitated N,N'-dicyclohexylutea was then filtered off, washed with ether and the filtrate was evaporated. The oily residue was *quickly* filtered through a pad of aluminum oxide using benzene as an eluent. The solvent was evaporated and the resulting yellowish oil was dried at 22  $\rm{°C/26}$  Pa to yield pure 10b (1.420 g; 84%) which could be stored under argon at -15  $\rm{°C}$  for several months without decomposition. <sup>1</sup>NMR 2.14-2.28 (m, 4 H, 2-H and 6-H), 2.63 (m,  $\Sigma J = 31$  Hz, 1 H, 1-H), 3.10 (s, 2 H,  $\mathrm{Ph_{2}PCH_{2}CC}$ system,  $J_{3-H,4-H} = 10$  Hz, ), 3.66 (s, 3 H, CO<sub>2</sub>CH<sub>3</sub>), 5.28 (m,  $\Sigma J = 25$  Hz, 1 H, 5-H), 5.41 (m, part of AB 19.4 Hz, 1 H, 3-H), 7.23-7.70 (m, 10 H, arom.); <sup>13</sup>C NMR 27.06 and 30.19 (two s,  $\Sigma J = 18.2$  Hz, 1 H, 4-H), 5.77 (m, part of AB system,  $J_{3.1}$  $C-2$  and  $C-6$ ), 34  $_{\rm 4-H}$  = 10 Hz,  $\Sigma J$  = (d, J<sub>C P</sub> = 8.3 Hz, Ph<sub>2</sub>PCH<sub>2</sub>CO<sub>2</sub>), 37.72 (s, C-1), 51.69 (s, CO<sub>2</sub>CH<sub>3</sub>), 69.75 (s, C-5), 126.53 (s, C-4),<br>128.40 (d, J<sub>C P</sub> = 7 Hz, C<sub>meta</sub> of Ph<sub>2</sub>P), 128.85 (s, C-3), 128.94 (s, C<sub>para</sub> of Ph<sub>2</sub>P), 132.59 (d, J<sub>C P</sub> Hz, C<sub>ortho</sub> of Ph<sub>2</sub>P), 137.0 of Ph<sub>2</sub>P), 128.85 (s, C-3), 128.94 (s,  $i$  (d,  $J_{\rm CP}$  = 14.6 Hz, C<sub>ipso</sub> of Ph<sub>2</sub>P), 169.94 of Ph<sub>2</sub>P), 132.59 (d,  $J_{CP} = 19.8$ Hz, C<sub>ortho</sub> of Ph<sub>2</sub>P), 137.03 (d, J<sub>C P</sub> = 14.6 Hz, C<sub>ipso</sub> of Ph<sub>2</sub>P), 169.94 (d, J<sub>C P</sub> = 7.6 Hz, Ph<sub>2</sub>PCH<sub>2</sub>CO<sub>2</sub>), 174.31 (s, CO<sub>2</sub>CH<sub>3</sub>); <sup>31</sup>P NMR -15.42 (s, Ph<sub>2</sub>PCH<sub>2</sub>CO<sub>2</sub>); IR 1656 (C=C), 1737 (C=O), 3035, 305 3070 (C-H arom.) cm<sup>-1</sup>. Anal. Calcd for C<sub>22</sub>H<sub>23</sub>O<sub>4</sub>P: C, 69.10; H, 6.06; P, 8.10. Found: C, 69.04; H, 6.00; P, 8.25.

**Methyl** cis-[5-(ethoxycarbonyl)oxy-3-cyclohexene-1-carboxylate] (10c). Methyl cis-(5-hydroxy-3-cyclohexen-1-carboxylate) (500 mg ethyl chloroformate (610  $\mu$ L; 6.38 mol) at 0 °C for , 3.20 mmol) in pyridine (10 mL) was treated with 2 h. The mixture was then decomposed with ice and water, the product was extracted with ether and the ethereal solution was worked up as usual. The residue was filtered through a pad of aluminum oxide using petroleum ether-benzene mixture (2:1). The filtrate was evaporated to furmsh carbonate **1Oc** (570 mg; 78%) as a viscous oil: 'H NMR 1.31 (t, J = 7 Hz, 3 H, *CH3CH2O).* 1.63-2.91 (m, 5 II, 1-H. 2-H, and 6-H), 3.70 **(s,** 3 H, **cO,CH3),** 4.19 (q, J = 7 Hz, 2 II, CH<sub>3</sub>CH<sub>2</sub>O), 5.25 (m, ΣJ = 27.5 Hz, 1 H, 5-H), 5.70 (dddd, part of AB system, J<sub>3-H,4-H</sub> = 10.5, J = 3.3, 1.5, and 1.5 Hz, 1 H, 4-H), 5.89 (dddd, part of AB system,  $J_{3-H,4,H} = 10.5$  Hz,  $J = 3.3$ , 3.3, and 1.5 Hz, 1 H, 3-H); IR 1012 and 1260 (C-O), 1654 (C=C), 1742 (C=O) cm<sup>-1</sup>. Anal. Calcd. for C<sub>11</sub>H<sub>16</sub>O<sub>5</sub>: C, 57.89; H, 7.08. Found: C, 57.71; H. 7.18.

**Methyl cis-[5-(carbamoyl)oxy-3-cyclohexene-l-carboxylatel (10d).** To a solution of methyl cis-5-hydroxy-3-cyclohexene-l-carboxylate (80 mg; 0.51 mmol) in abs. chloroform (2 mL) was added trichloroacetyl isocyanate (TAI, 61 uL; 0.51 mmol). The mixture was set aside under argon at r.t. for 10 min. The solution was then soaked into a pad of aluminum oxide and the product was eluted with chloroform (for the method, see ref. 90) to give carbamate lob (98 mg; 96%): m.p. 123-124 "C (ether);  $^{1}$ H NMR 1.61-2.45 (m, 4 H, 2-H and 6-H), 2.72 (m,  $\Sigma J = 33$  Hz, 1 H, 1-H), 3.69 (s, 3 H, CH<sub>3</sub>CO<sub>2</sub>), 4.89 (br s,  $W_4 = 12$  Hz, 2 H, H<sub>2</sub>NCO<sub>2</sub>), 5.27 (m,  $\Sigma J = 26$  Hz, 1 H, 5-H), 5.70 (br d, part of AB system,  $J = 10$ Hz, 1 H, 4-H), 5.85 (m, part of AB system,  $\Sigma J = 21$  Hz, 1 H, 3-H). Anal. Calcd. for C<sub>9</sub>H<sub>13</sub>O<sub>4</sub>N: C, 54.26; I-I, 6.58 H; N, 7.03. Found: C. 54.08; H, 6.65; N, 7.17.

Methyl cis-[5-(N-benzylcarbamoyl)oxy-3-cyclohexene-1-carboxylate] (10e). Methyl cis-(5-hydroxy-3-cyclohexene-1-carboxylate) (200 mg; 1.28 mmol) in dry chloroform (4 mL) was treated with benzyl isocyanate (500  $\mu$ L; 4.06 mmol) at r.t. for 5 days. The solution was then soaked into a pad of aluminum oxide and eluted with petroleum ether-benzene mixture (2:l) and benzene. The eluate was evaporated and chromatographed on silica (25 g) using petroleum ether-ether-acetone mixture (72:18:2) as eluent and a pressure of 60 kPa. Evaporation of the corresponding fraction afforded pure 10d (190 mg; 51%): mp 60-61 °C (methanol); <sup>1</sup>H NMR 1.48-2.95 (m, 5 H, 1-H, 2-H, and 6-H), 3.65 (s, 3 H, CO<sub>2</sub>CH<sub>3</sub>), 4.33 (d,  $J = 6$  Hz, 2 H, PhCH<sub>2</sub>NH), 4.70-5.60 (m, 2 H, PhCH<sub>2</sub>NHCO and 5-H), 5.75 (br d,  $J_{3-H,4-H} = 3$ Hz, 2 H, 3-H and 4-H), 7.28 (br s, 5 H, arom); IR 1506 and 1605 (C=C arom), 1726 and 1740 sh (C=O), 3035, 3065, and 3090 (C-H arom), 3450 (N-H) cm<sup>-1</sup>. Anal. Calcd for  $C_{16}H_{19}NO_4$ : C, 66.42; H, 6.62; N, 4.84. Found: C, 66.28; H, 6.73; N, 4.65.

Methyl cis-{5-[N-(1-naphthyl)carbamoyl]oxy-3-cyclohexene-1-carboxylate} (10f). Methyl cis-(5hydroxy-3-cyclohexene-l-carboxylate) (Zoo mg; 1.28.mmol) in dry chloroform (6 mL) was treated with 1-naphthyl isocyanate  $(230 \mu L; 1.60 \text{ mmol})$  at r.t. for 3 days. The solvent was evaporated and the residue chromatographed on silica (20 g) using petroleum ether-ether-acetone mixture (78:12:10) and a 30 kPa pressure to yield amorphous **lOf(l62** mg; 39%): tH NMR 1.65-2.92 (m, 5 H, 1-H. 2-H and 6-H). 3.70 (s, 3 H, CO<sub>2</sub>CH<sub>3</sub>), 5.46 (m,  $\Sigma J = 24$  Hz, 1 H, 5-H), 5.78 (br d, part of AB system, H, 4-H), 5.94 (br d, part of AB system,  $J_{3-H,4} = 11$  Hz, 1 H, 3-H), 6.86-7.02 (m, 1 H, 7.36-7.98 (m, 7 H. amrn); IR 1495. 1584. and 1600 (C=C, arom.), 17UO sh and 3460 (N-H) cm<sup>-1</sup>. Anal. Calcd for C<sub>19</sub>H<sub>19</sub>NO<sub>4</sub>: C, 70.14; H, 5.89; N, 4.31. Found: C, 70.08; H, 5.66; N, 4.13.

Methyl cis-{5-[N-(4-toluenesulfonyl)carbamoyl]oxy-3-cyclohexene-1-carboxylate} (10g). Methyl cis-(5-hydroxy-3-cyclohexene-1-carboxylate) (41 mg; 0.26 mmol) in tetrahydrofuran (5 mL) was treated with p-toluenesulfonyl isocyanate (40 µL; 0.26 mmol) at r.t. for 30 min. The solvent was evaporated to afford a viscous oil of  $10g$  (91 mg; 98%): 'H NMR 1.61-2.73 (m, 5 H, 1-H, 2-H, and 6-H),  $2.45$  (s, 3 H, 4-CH<sub>3</sub>C<sub>6</sub>H<sub>4</sub>SO<sub>2</sub>), 3.69 (s, 3 H, CO<sub>2</sub>CH<sub>3</sub>), 5.29 (m,  $\Sigma J$  = 28 Hz, 1 H, 5-H), 5.55 (br d, J<sub>3-H,4-H</sub> 10 Hz, 1 H, 4-H), 5.83 (m,  $\Sigma J = 24$  Hz, 1 H, 3-H), 7.34 (d, part of AB system,  $J = 8$  Hz, 2 H, arom), 7.92 (d, part of AB system,  $J = 8$  Hz, 2 H, arom.); IR 1495 and 1598 (C=C arom), 1654 (C=C), 1720 sh and 1740 (C=O), 3230 and 3440 (N-H) cm<sup>-1</sup>. Anal. Calcd for  $C_{16}H_{19}NO_6S$ : C, 54.38; H, 5.42; N, 3.96; S, 9.07. Found: C, 54.26; H, 5.51; N, 3.80; S, 9.21.

**Methyl cis-[S-(N-phenylthiocarbamoyl)oxy-3-cyclohexene-l-carboxylate] (10h).** A mixture of methyl cis-(5-hydroxy-3-cyclohexene-1-carboxylate) (600 mg; 3.84 mmol), 4-dimethylaminopyridine (50 mg; 0.41 mmol) and phenyl isothiocyanate (600 pL; 5.02 mmol) was heated at 100 "C over 72 h under argon. The crude mixture was then chromatographed on silica (50 g) using petroleum ether-acetone mixture (91:9) and 90 kPa pressure to give 10h (276 mg; 25%): mp 81-84 <sup>o</sup>C (chloroform);  $^{1}$ H NMR 1.48-2.93 (m, 5 H, 1-H, 2-H, and 6-H), 3.68 (s, 3 H, CO<sub>2</sub>CH<sub>3</sub>), 5.40 (m, 21 = 26 Hz, 1 H, 5-H), 5.75 (br s,  $W_1 = 6.5$  Hz, 2 H, 3-H and 4-H), 6.52-6.77 (m, 1 H, Ph-NH-CS), 6.98-7.50 (m, 5 H, arom); IR 1204 (C=S), 1524 and 1596 (C=C arom), 1742 (C=O), 3445 (N-H) cm<sup>-1</sup>. Anal. Calcd for C<sub>15</sub>H<sub>17</sub>NO<sub>3</sub>S: C, 61.83; H, 5.88; N, 4.81; S. 11.00. Found: C, 61.93; H, 5.83; N, 4.59; S, 10.82.

**Reaction of lob with Pd(D) and Fe(CO)s.** To a solution of (diphenylphosphino)acetate **lob**  (50 mg; 0.13 mmol) in dry THF (3 mL) was added (MeCN)<sub>2</sub>PdCl<sub>2</sub> (34 mg; 0.13 mmol) and the mixture was stirred at r.t. for 15 min under argon. To the resulting yellow solution was added diethyl sodiomalonate (0.39 mmol) in THF (3 mL) and Fe(CO),  $(17 \mu L; 0.13 \text{ mmol})$ . The black-greenish mixture was stirred at 50 °C for 48 h and worked up as in the general experiment to give a 92:8 (GC) mixture of **15** and **16 (13** mg; 33%).

# (n<sup>3</sup>-2-Butenyl)chlorobis{methyl-cis-[5-(diphenylphosphino-xP-

**-acetoxy)-3-cyclohexene-1-carboxylate]}palladium (17).** @iphenylphosphino)acetate **lob (50** mg; **0.13**  mmol) in abs. tetrahydrofuran (2 mL) was added to a solution of  $[(\pi-\text{cotyl})\text{PdCl}_2(27 \text{ mg}; 0.065 \text{ mmol})]$ and maleic anhydride (33 mg; 0.33 mmol) in tetrahydrofuran (2 mL), the mixture was stirred for 2 h at r-t. and then filtered through a pad of aluminum oxide using ether as eluent. The eluate was evaporated and the residue was chromatographed on silica  $(9 g)$  using petroleum ether-ether mixture  $(3:1)$  and  $60$ kPa pressure to furnish yellow solid 17 (64 mg; 52%): 'H NMR 1.83 (dd,  $J = 9.0$  and 6.4 Hz, 3 H, 4'-H). 1.96-2.38 (m, 8 H, 2-H and 6-H), 2.51-2.64 (m, 3 H, 1-H and 1'-H), 3.22 (m,  $\Sigma J = 20$  Hz, 4 H, P 3.68 (s, 6 H, CO<sub>2</sub>CH<sub>3</sub>), 4.23-4.67 (m, 2 H, l'-H and 3'-H), 5.12-5.41 (m, 5 H, 4-H, 5-H, and 2'-H), 5.75  $(m, \Sigma J = 19.4 \text{ Hz}, 2 \text{ H}, 3\text{-H})$ , 7.28-7.88 (m, 20 H, arom.); <sup>13</sup>C NMR 17.55 (s, C-4') 27.13 and 30.12 (two s, C-2 and C-6), 34.85 (d,  $J_{\text{C,P}} = 19.2$  Hz, Ph<sub>2</sub>PCH<sub>2</sub>CO<sub>2</sub>), 37.75 (s, C-1), 51.85 (s, CO<sub>2</sub>CH<sub>3</sub>), 54.41 (s, C-1'), 70.41 (s, C-5), 116.88 (s, C-2' or C-3'), 122.59 (d,  $J_{C,P} = 10.2$  Hz, 128.99 (s, C-3), 130.65 (s, C<sub>para</sub> of Ph<sub>2</sub>P), 131.81 (d,  $J_{C,P}$  = 38.7 Hz, C<sub>ipso</sub> of of Ph<sub>2</sub>P), 126.37 (s, C-4), of Ph<sub>2</sub>P), 131.81 (d,  $J_{C,P} = 38.7$  Hz,  $C_{\text{ipso}}$  of Ph<sub>2</sub>P), 133.05 (d,  $J_{C,P} = 12.5$ 174.37 (s,  $CO_2CH_3$ ); <sup>31</sup>P NMR 19.11 (s, Ph<sub>2</sub>P); MS(FAB) 870 (2%), 594 (21%), 543 (100%), 488 (26%), 405 (77%), 382 sh and 1739 (C=O) cm<sup>-1</sup>. Anal. Calcd for  $C_{48}H_{53}ClO_8P_2Pd$ : C, 59.95; H. 5.55; Cl, 3.69. Found: C. 60.20; H, 5.69; Cl, 3.52.

**Reaction of 17 with Diethyl Malonate.** To a solution of complex 17 (16 mg; 0.017 mmol) in dry THF (1.5 mL) was added a solution of diethyl sodiomalonate (0.085 mmol) in THF (0.5 mL). The mixture was stirred at r.t. for 30 min under argon and then worked up as in the general experiment to afford a 49:51 (GC) mixture of **15** and **16 (7** mg; 71%).

**4-Cholesten-3ß-yl-(diphenylphosphino)acetate** (18b). Prepared from cholest-4-en-38-ol in analogy with **IOb ia 82% yield** as an amorphous solid: [alo -lo (c **2.4);** H NMR **0.67 (s,** 3 H, 18-H), 1.01 (s, 3 H, 19-H), 3.11 (s, 2 H, Ph<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>), 5.02 (br s,  $W_{\frac{1}{2}} = 4.2$  Hz, 1 H, 4-H), 5.15 (m,  $\Sigma J = 24$  Hz, 1 H  $3\alpha$ -H), 7.23-7.55 (m. 10 H, arom.); IR 1660 (C=C), 1723 (C=O) cm<sup>-1</sup>. Anal. Calcd for C<sub>41</sub>H<sub>57</sub>O<sub>2</sub>P: C, 80.35; H, 9.37; P, 5.05. Found: C, 80.19; H, 9.45; P, 5.30.

**4-Cholesten-3α-yl-(diphenylphosphino)acetate (19b). Prepared from cholest-4-en-3α-ol in** analogy with 10b in 83% yield as an oil:  $[\alpha]_D$  +105 $^{\circ}$  (c 2.2; benzene); <sup>1</sup>H NMR 0.68 (s, 3 H, 18-H); 0.93 (s, 3 H, 19-H); 3.11 (s, 2 H, Ph<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>); 5.02 (m,  $\Sigma J = 15.8$  Hz, 1 H, 3β-H), 5.27 (d,  $J = 5z$ , 1 H, 4-H), 7.24-7.56 (m, 10 H, arom.); IR 1110 and 1260 (C-O), 1586 (arom.), 1658 (C=C), 1722 (C=O) cm<sup>-1</sup>. Anal. Calcd for  $C_{41}H_{57}O_2P: C$ , 80.35; H, 9.37; P, 5.05. Found: C, 80.29; H, 9.50; P, 4.92.

**3&Phenyl4cholestene (22). 'H NMR (spectrum** *taken in a mixture with 23)* 0.67 (s, 18-H). 1.10 (s, 3 H, 19-H), 3.16-3.49 (m, 3a-H), 5.29 (br s, *W+ =* **5 Hz, 1** H, 4-H), 7.09-7.57 (m. arom.).

**3a-Phenyl4cholesteue (23).** 'H NMR (spectrum taken in a mixture with 22) 0.67 (s. 18-H). 1.06 (s, 3 H, 19-H), 3.16-3.49 (m, 3 $\beta$ -H), 5.37 (br d,  $J_{38-H,4-H} = 4.5$  Hz, 1 H, 4-H), 7.09-7.57 (m, arom.).

 $(+)$ - $(R)$ - $(E)$ -4-Phenyl-3-buten-2-ol (24). To a solution of titanium(IV) isopropoxide (4.60) mL, 15.45 mmol, 1.0 equiv.) in dry CH<sub>2</sub>Cl<sub>2</sub> (150 mL) and containing molecular sieves 3A (6 g) was added (+)diisopropyl tartrate (3.90 ml,, 18.55 mmol, 1.2 equiv.) at **-20 'C and the mixture was** stirred under argon at this temperature for 20 min. Then a solution of (±)-trans-4-phenyl-3-buten-2-ol (2.29 g, 15.46 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL) was added followed by a dropwise addition of *t*-butyl hydroperoxide in CH& (4.93 M, l.i8 mL, 9.27 mmol, 0.6 equiv.) **at -20** *to* **-30 OC over a period of 20 min. The** mixture was stirred at -20 °C for 2 h, then poured into a cold mixture of acetone (350 mL) and water (4.6 mL) and stirred for 3 h (until it reached rt). The mixture was then filtered through a column of aluminum oxide  $(5x5 \text{ cm})$  and evaporated. The residue was chromatographed on silica  $(170 \text{ g})$  using a light petroleum-ether-acetone mixture (85:10:5 2 L) as eluent to afford  $(+)$ -(R)-(E)-4-PhenyI-3-buten-2-ol (24) (856 mg; 37%) and 3,4-epoxy-4-phenyl-3-butanol (1.20 g; 47%). The latter alcohol (24) had  $[\alpha]_D$  +24.5<sup>6</sup> (c 3.0, CHCl<sub>3</sub>, corresponding to 99% e.e.<sup>37</sup>); <sup>1</sup>H NMR (d,  $J = 6$  Hz, 3 H, 1-H), 4.47 (m,  $\Sigma J = 28$  Hz, 1 H, 2-H), 6.24 (dd,  $J = 6$  and 17 Hz, 1 H, 3-H), 6.59 (d,  $J = 17$  Hz, 1 H, 4-H), 7.19-7.45 (m, 5 H, arom.). The epoxy alcohol: <sup>1</sup>H NMR 1.34 (d, J = 7 Hz, 3 H, 1-H), 3.23 (br d, J = 7.5 Hz, 1 H Hz, 1 H, 4-H), 5.17 (m,  $\Sigma J = 28$  Hz, 1 H, 2-H), 7.32 (br s, 5 H, arom.).

**(+)-(R)\_(E)-(4-Phenyl-3-buten-2-yl)-(diphenylph~phi~o)a~~te (2Sb).** prepared fYom the corresponding alcohol in analogy with 10b in 69% yield:  $[\alpha]_D$  +36° (c 5.1); <sup>1</sup>H NMR 1.26 (d,  $J_{1-H,2}$ <sub>H</sub> =  $6.4$  Hz, 3 H, 1-H),  $3.14$  (d,  $J_{\rm gem(H,P)}$  =  $0.5$  Hz, 2 H, Ph $_2$ PCH $_2$ CO<sub>2</sub>), 5.42 (ddq,  $J_{2\text{-H},3\text{-H}}$  =  $6.7$  Hz,  $J_{1\text{-H},2\text{-H}}$  = 6.4 Hz,  $J_{2,H,4-H} = 1.1$  Hz, 1 H,  $2-H$  6.01 (dd,  $J_{3-H,4-H} = 16$  Hz,  $J_{2-H,3-H} = 6.7$  Hz, 1 H, 3-H), 6.49 (c  $J_{3-H,4} = 16$  Hz,  $J_{2-H,4} = 1.1$  Hz, 1 H, 4-H), 7.18-7.49 (m. 15 H, arom.); IR 1256 (C-O), 1495 and 1586 (C=C arom.), 1728 (C=O) cm<sup>-1</sup>. Anal. Calcd for  $C_{24}H_{23}O_2P$ : C, 76.99; H, 6.19; P, 8.27. Found: C, 76.81; H, 6.20; P, 8.41.

#### **[3a,4,5,6,7,7a-Hexahydro-(1α,3aα,4α,7α,7aα)-4,7-meth**

**-inden-1-yl](diphenylphosphino)acetate (30b).** Prepared from the corresponding alcohol<sup>19</sup> in analogy with **lob in** 42% yield: 'H NMR 0.93-2.13 (m, 7 H), 2.30 (m, *W t*   $=10$  Hz, 2 H), 2.99 (m,  $\Sigma J=$ H, 3a-H), 3.08 (s, 2 H,  $Ph_2PCH_2CO_2$ ), 5.46 (m,  $W_1 = 5.8$  Hz,  $J_{1 \cdot H, 3 \cdot H} = 2$  Hz,  $J_{3 \cdot H, 3 \cdot H} = 2$  Hz, 1 H, 3-H), 5.96 (dddd H, 1-H), 5.61 (ddd, *J*<sub>2-H,3-H</sub> = 5.7 Hz,  $=$  2 Hz.  $J_{2 \text{-H.3a-H}} = 1 \text{ H.}$ J<sub>2-H 7a-H</sub> = 0.8 Hz, 1 H, 2-H), 7.23-7.93 (m, 10 H, arom.); IR 1588 and 1614 (C=C arom.), 1724 (C=O) cm<sup>-1</sup>; MS(EI), m/z (rel. intensity) 376 (M<sup>+</sup>, 7%). Anal. Calcd for C<sub>24</sub>H<sub>25</sub>O<sub>2</sub>P: C, 76.58; H, 6.69; P, 8.23. Found: C, 76.41; H, 6.77; P, 8.18.

#### **1-Phenyl-3a,4,5,6,7,7a-hexahydro-(1α,3aα,4α,7α,7aα**

**-methano-Windene (32). H NMR 1.20-1.59 (m, 6 H). 2.37-2.49 (m, 3** H), 3.16 (m, XJ = 25 Hz, 1 H, 3a-H), 3.68 (m,  $W_{\frac{1}{2}} = 7$  Hz, 1 H, 1-H), 5.73 (m,  $\Sigma J = 20$  Hz, 2 H, 2-H and 3-H), 7.04-7.37 (m, 5 H, arom.); MS(EI)  $m/z$  (rel. intensity) 210 (M<sup>+</sup>, 11%).

#### $(+)$ - $(1S)$ - $\{(1\alpha,2\beta,5\alpha)$ -4,6,6-Trimethylbicyclo[3.1.1]hept-3-en-

**-2-yl}-(diph enylphosphino)acetate (34b). To** a solution of (diphenylphosphino)acetic acid (385 mg; 1.58 mmol) and  $(-)$ -cis-verbenol<sup>61</sup> (120 mg; 0.79 mmol) in a mixture of dry ether (5 mL) and dry acetonitrile (1 mL) were successively added 4-dimethylaminopyridine (30 mg; 0.25 mmol) in acetonitrile (1 mL) and N,N'-dicyclohexylcarbodiimide (326 mg; 1.58 mmol) in dry *ether* (5 rnL). 7he mixture was stirted under argon at room temperature for 30 rnin and then worked up as given for **lob to** yield yellowish oil of 34b (210 mg, 70%): [a]<sub>D</sub> +53° (c 2.8); <sup>1</sup>H NMR 0.90 (s, 3 H, endo-6-CH<sub>3</sub>), 1.27 (s, 3 H, exo-6-CH<sub>3</sub>), 1.32 (ddd, J<sub>gem</sub> = 9.2 Hz, J<sub>1-H.7-H</sub> = 6.9 Hz, J<sub>5-H.7-H</sub> = 4.5 Hz, 1 H, 7-H), 1.69 (dd, J<sub>2</sub> 1.6 Hz, 3 H, 4-CH<sub>3</sub>), 1.94 (ddd, J<sub>5-H, 7-H</sub> = 6.5 Hz, J<sub>5-H, 7-H</sub> = 4.5 Hz, J  $Me^{\pm}$ 1 H, 5-H), 2.13 (dddd, J<sub>1-H.7-H</sub> = 6.9 Hz, . 2.41 (ddd,  $J_{\text{gem}} = 9.2 \text{ Hz}, J_{\text{5-H.7-H}} = 0.4 \text{ Hz}$ (ddd, J<sub>S-H,7-H</sub> = 6.5 Hz, J<sub>S-H,7-H</sub> = 4.5 Hz, J<sub>3-H,5-H</sub> = 1)<br><sub>-H'</sub> = 5.5 Hz, J<sub>1-H,2-H</sub> = 3.4 Hz, J<sub>1-H,3-H</sub> = 2.0 Hz, 1 H, (dddd, J<sub>2-H,3-H</sub> =2.8 Hz, J<sub>1-H,3</sub> 6.5 Hz,  $J_{1-H,7-H}$  = 5.5 Hz, 1 H, 7-H), 3.10 (s, 2 H, Ph<sub>2</sub>PCH<sub>2</sub>CO<sub>2</sub>), 5.12  $J_{1-H,2-H}$  = 3.4 Hz,  $J_{2-H,3-H}$  = 2  $I_{\rm H}$  = 2.0 Hz,  $J_{\rm 3-H,4-Mc}$  = 1.6 Hz,  $J_{\rm 3-H,5-H}$  = 1.4 Hz, 1 H, 3-H), 5.42 (ddd, J<sub>1-H,2-H</sub> = 3.4 Hz, J<sub>2-H,3-H</sub> = 2.8 Hz, J<sub>2-H,4-Me</sub> = 1.8 Hz, 1 H, 2-H), 7.31-7.60 and 7.75-7.88 (m, 10 H, arom.); IR 1260 (C-O), 1482 (C=C arom.), 1656 (C=C), 1734 (C=O) cm<sup>-1</sup>. Anal. Calcd for C<sub>24</sub>H<sub>27</sub>O<sub>2</sub>P: C, 76.17; H, 7.19; P, 8.18. Found: C, 76.01; H, 7.39; P, 8.29.

#### (+)-(1S)-{(1α,2β,5α-4,6,6-Trimethylbicyclo[3.1.1]hept-3-en-

**-2-yl]-2,6-dichlorobenzoate (34i).** (->cis-Verbenol(220 mg; 1.45 mmol) was esterified in the same way as described for 35i to afford viscous oil 34i (420 mg;  $89\%$ ):  $[\alpha]_D + 33^\circ$  (c 2.1); <sup>1</sup>H NMR 1.00 (s, 3 H, **endo-6-CH<sub>3</sub>)**, 1.35 (s, 3 H, exo-6-CH<sub>3</sub>), 1.49 (m, ΣJ = 20 Hz, 1 H, 5-H), 1.78 (t, J = 1.5 Hz, 3 H, 4-CH<sub>3</sub>), 5.5 Hz, 1 H. 1-H). 2.56 (t, J = 5.5 Hz, 2 I-I, 7-H). 5.48 (rn, *W+ =* **5.8 Hz, 1 H, 3-H). 5.85 (m,**  H, 2-H). 7.22-7.40 (m, 3 H, arom.); IR 1564 and 1580 (C=C arom.), 1655 (C=C). 1737 Anal. Calcd for  $C_{17}H_{18}C_{12}O_2$ : C, 62.78; H, 5.59; Cl, 21.80. Found: C, 62.70; H, 5.78; Cl,  $21.57.$ 

#### $(-)-(1S)$ - $(1\alpha,2\alpha,5\alpha)$ -4,6,6-Trimethylbicyclo[3.1.1]hept-3-en-

-2-yl}-(diphenylphosphino)acetate (35b). Prepared from (-)-*trans*-verbenol<sup>61</sup> in analogy with 10b as a yellowish oil (89%)  $\left[\alpha\right]_D$ -83° (c 2.6; benzene); <sup>1</sup>H NMR 0.85 (s, 3 H, endo-6-CH<sub>3</sub>), 0.96-1.40 (m, 1 H, 5-H), 1.28 (s, 3 H, *exo-*6-CH<sub>3</sub>), 1.72 (t,  $J_{2\text{+H}4\text{-Me}}$  = 1 Hz,  $J_{3\text{+H}4\text{-Me}}$  = 1 Hz, 3 H, 4-CH<sub>3</sub>), 1.86-2.28 (m, 3 H, 1-H and 7-H), 3.10 (s, 2 H,  $Ph_2CH_2CO_2$ ), 5.17 (m, *W*; 7.20-7.54 (m, 10 H, arom.); IR 1255 (C-O), 1586 (C<del>-</del> *=* **6 Hz, 1 H, 2-H), 5.25 (m. W = 8 Hz, 1 H, 3-H),**   $\equiv$ C arom.), 1655 (C=C), 1722 (C=O) cm<sup>-1</sup>; MS(EI)  $m/z$  (rel. intensity) 378 (M<sup>+</sup>, 29%). Anal. Calcd for  $C_{24}H_{27}O_2P$ : C, 76.17; H, 7.19; P, 8.18. Found: C, **76.03; H, 7.22; P, 8.36.** 

#### **(-)-(U)-{(lafaJa)4,6,6-Trimethylbicyclo[3.l.l]hept-3-en-**

**-2-yl}-2,6-dichlorobenzoate (35i).** *n*-Butyllithium (2.4 M solution in *n*-hexane; 700 µL, 1.68 mmol) was added to a solution of  $(-)$ -trans-verbenol<sup>61</sup> (200 mg; 1.31 mmol) in dry tetrahydrofuran (6 mL) and the mixture was stirred at 0 °C for 15 min under argon. 2,6-Dichlorobenzoylchloride (350 μL; 2.45 mmol) was then added and the mixture was stirred at  $0^{\circ}$ C for 15 min, then at r.t. for 30 min, and finally at 50  $^{\circ}$ C for 4 h under argon. The mixture was then decomposed with ice, excess of  $(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>$  was added and the product was extracted five times with ether. Combined organic phase was washed with saturated NaCl,  $KHCO<sub>3</sub>$ , and dried with MgSO<sub>4</sub> and evaporated in vacuo. The residue was filtered through a pad of aluminum oxide using petroleum ether-benzene mixture  $(1:1)$  as eluent. Evaporation of the filtrate furnished pure **35i** (307 mg; 72%): mp 105-108 °C (petroleum ether-ether); [ $\alpha$ ]<sub>D</sub> -60° (c 2.4); <sup>1</sup>H NMR 0.98 (s, 3 H, endo-6-CH<sub>3</sub>), 1.18-2.56 (m, 4 H, 1-H, 5-H, and 7-H), 1.38 (s, 3 H, exo-6-CH<sub>3</sub>), 1.78 (t,  $J_{2-H,4-Me} = 0.2$  Hz,  $J_{3-H,4-Me} = 0.2$  Hz, 3 H, 4-Me), 5.47 (m,  $W_{\frac{1}{2}} = 5.5$  Hz, 1 H, 3-H), 5.71 (m,  $W_{\frac{1}{2}} = 7.3$ Hz, 1 H, 2-H), 7.09-7.38 (m, 3 H, arom.); IR 1270 (C-O), 1565 and 1580 (C=C arom.), 1654 (C=C), 1735 (C=O) cm<sup>-1</sup>. Anal. Calcd for C<sub>17</sub>H<sub>18</sub>Cl<sub>2</sub>O<sub>2</sub>: C, 62.78; H, 5.59; Cl, 21.80. Found: C, 62.54; H, 5.70; Cl, 21.65.

#### $(-)-(1R)$ - $(1\alpha,2\alpha,5\alpha)$ -4,6,6-Trimethylbicyclo[3.1.1]hept-3-en-

**-2-yl)benzene (37):**  $[\alpha]_D$  -104° (c 2.4); <sup>1</sup>H NMR 1.00 (s, 3 H, endo-6-CH<sub>3</sub>), 1.28 (m,  $\Sigma J = 11$  Hz, 1 H, 5-H), 1.32 (s, 3 H, *exo-*6-CH<sub>3</sub>), 1.78 (dd, J<sub>2-H,4-Me</sub> = 2.2 Hz, J<sub>3-H,4-Me</sub> = 1.6 Hz, 3 H, 4-CH<sub>3</sub>), 2.00-2.11 (m, 2 H, 7-H), 2.13 (dddd, *J*<sub>1-H,7-H</sub> = 6.5 Hz, *J*<sub>1-H,7-H</sub> = 4.9 Hz, *J*<sub>1-H,2-H</sub> = 2.4 Hz, *J*<sub>1-H,3-H</sub> = 1.7 Hz, 1 H, 1-H), 3.58 (m,  $\Sigma J = 12.1$  Hz, 1 H, 2-H), 5.36 (m,  $\Sigma J = 10.6$  Hz, 1 H, 3-H), 7.13-7.38 (m, 5 H, arom.); IR 1493 and 1600 (C=C arom.) cm<sup>-1</sup>; MS(EI) m/z (rel. intensity) 212 (M<sup>+</sup>, 21%). Anal. Calcd for C<sub>16</sub>H<sub>20</sub>: C, 90.50; H, 9.50. Found: C, 90.33; H, 9.45.

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**' Dedicated to Professor** John E. McMurry on the occasion of his 50th birthday.

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- This complex was fully characterized by specual data (see the experimental part). Diagnostically significant was the expected shift in the 31P NMR spectrum: while in **lob the phosphorus** signal appears at -15.42 ppm, the latter resonates at 19.11 ppm in 17. The mass spectrum revealed fragments of the **lob** molecule containing Pd. *(40)*
- Allylic substitution has also been reported with complexes of  $W<sup>43</sup>$  Fe,<sup>44</sup> Mo<sup>45</sup> and Ni, Ru, Rh, and Pt.<sup>46</sup> Stoichiometric reaction of 10b with  $(MeCN)_2W(CO)_4$ , however, afforded the corresponding product of ligand exchange,  $[RO_2CCH_2(Ph)_2P]_2W(CO)_4$ , (58%), inert to NaCH(CO<sub>2</sub>Et)<sub>2</sub>. Similarly, the reaction of 10b with  $\vec{Fe}_2$ (CO)<sub>9</sub> led to the corresponding complex  $RO<sub>2</sub>CCH<sub>2</sub>(Ph)<sub>2</sub>P-Fe(CO)<sub>4</sub>$  (69%), also inert toward NaCH(CO<sub>2</sub>Et)<sub>2</sub>. However, irradiation of the latter complex by a mercury lamp in the presence of NaCH( $CO_2Ei$ )<sub>2</sub> (THF, reflux 3 h), led to a 6535 mixture of 15 and **16 (34%)** and free lob (48%). which indicates that dissociation precedes the formation of  $\eta^3$ -complexes. (41)
- Low yields of substitution products were obtained on reaction of 10b with MeCu(CN)Li (27%) and  $\text{Me}_3\text{Cu}_2\text{Li}$  (36%). While the ratio of retention/inversion products was 11:89 for the former reagent, the latter afforded a 31:69 mixture. Other cuprates, including M MeCu.BF<sub>3</sub>, either did not react or produced complex mixtures. In contrast, 10e a CuLi, MeCu, ar readily with Me<sub>2</sub>CuLi giving a 94:6 and 89:11 mixture, respectively, in good yields, indicating a strong pre-coordination control by the leaving group. As expected, **lob** prefermd inversion, producing a 17:83 mixture. (42)
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